

05/23/01  
J1060 U.S. PTO

05-24-01

A

Practitioner's Docket No. D-1140

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

JC821 U.S. PTO  
05/23/01  


NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Stephen Shepley, Joseph Cwikla, Bryan Reed, James Block, Robert Usner, Jay Paul Drummond, and Mark D. Smith

For (title): AUTOMATED TRANSACTION MACHINE SYSTEM AND METHOD

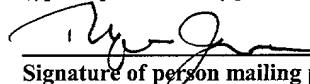
---

CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is **mandatory**.)

(Express Mail certification is **optional**.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 5/23/2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL820017625US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ralph E. Jocke  
(type or print name of person mailing paper)  
  
Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing, 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

05/23/01  
j1060 U.S. PTO



**1. Type of Application**

This transmittal is for an original (nonprovisional) application

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

**3. Papers Enclosed**

**A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application**

21 Page(s) of Specification

12 Page(s) of Claims

4 Sheet(s) of Drawing(s)—Formal

**B. Other Papers Enclosed**

10 Page(s) of Declaration and Power of Attorney

1 Page(s) of Abstract

11 Other Assignment / Cover Sheets

5 Application Data Sheet

**4. Declaration or Oath**

Enclosed.

Executed by Inventor(s).

**5. Inventorship Statement**

The inventorship for all the claims in this application are not the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Diebold, Incorporated is attached. ✓

FORM PTO 1595 is also attached.

**8. Fee Calculation (37 C.F.R. 1.16)**

Regular Application

| CLAIMS AS FILED  |              |                        |              |          |   |
|--|--------------|------------------------|--------------|----------|---|
| Claims   | Number Filed | Basic Fee<br>Allowance | Number Extra | Rate     | Basic Fee<br>37 CFR 1.16(a)<br>\$710.00 |
| Total Claims<br>(37 CFR 1.16(c))                           | 36           | - 20 =                 | 16 x         | \$18.00  | \$288.00                                |
| Independent Claims<br>(37 CFR 1.16(b))                     | 3            | - 3 =                  | 0 x          | \$80.00  | \$0.00                                  |
| Multiple Dependent<br>Claim(s), if any<br>(37 CFR 1.16(d)) | 0            |                        | +            | \$270.00 | \$0.00                                  |
| Filing Fee Calculation                                     |              |                        |              |          | \$998.00                                |

**9. Fee Payment Being Made at This Time**

Enclosed

|   |                  |
|---|------------------|
| Filing Fee  | \$998.00         |
| Recording assignments<br>(\$40; 37 C.F.R. 1.21(h))<br>(See attached "COVER SHEET FOR<br>ASSIGNMENT ACCOMPANYING NEW<br>APPLICATION".) | \$240.00         |
| <b>Total Fees Enclosed</b>  | <b>\$1238.00</b> |

TRANSMITTAL FORM

**10. Method of Payment of Fees**

Charge Account No. 09-0428 (InterBold) in the amount of \$1238.00.

A duplicate of this transmittal is attached.

**11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428 (InterBold).

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fees)

**12. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold).

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATIONS CLAIMED**

**13. Related Back**

Amend the specification by inserting, before the first line, the following sentence:

**A. 35 U.S.C. § 119(e)**

“This application claims the benefit to U.S. Provisional Application No.:

**APPLICATION NO.**

**FILING DATE**

60/207,043

05/25/2000

**14. Related Back - 35 U.S.C. § 120, 121, and 365(c)**

"This application is a continuation-in-part of copending application(s):

Application Number 09/193,637 filed on November 17, 1998 which is a continuation-in-part of International Application PCT/US97/21422 filed on November 25, 1997 and which designated the U.S. (now 09/077,337).

The nonprovisional applications designated above, namely application 09/193,787 filed November 17, 1998 and PCT/US97/21422 (now 09/077,337) claims the benefit of U.S. Provisional Application(s) No(s).:

| <b>APPLICATION NO.</b> | <b>FILING DATE</b> |
|------------------------|--------------------|
| 60/031,956             | November 27, 1996  |
| 60/091,887             | July 7, 1998       |
| 60/095,626             | August 7, 1998     |
| 60/098,907             | September 2, 1998  |

**15. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- a. The inventorship for all the claims in this application is not the same.



---

**SIGNATURE OF PRACTITIONER**

Reg. No. 31,029  
Tel. No.: (330) 721-0000

Customer #28995

Ralph E. Jocke  
231 South Broadway  
Medina, OH 44256